



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/340-618 06/28/99 KATZ

R 244/067-(664

0232/0722

B. G. NILSSON  
NILSSON, ROBBINS DALGARN BERLINER  
CARSON & WURST  
201 NORTH FIGUEROA STREET FIFTH FLOOR  
LOS ANGELES CA 90012-2628

NOT ASSIGNED

2742

DATE MAILED:

07/22/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 870

- ☒ 1. The statutory basic filing fee is:  
☒ missing.

☐ Insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

☐ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

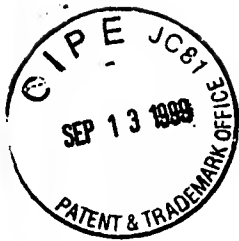
unblack  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

FORM PTO-1533 (REV. 9/96)

PART 2 - COPY TO BE RETURNED WITH RESPONSE

U.S. GPO: 1998-1-26324

09/16/1999 HLE111 00000106 122475 09340618  
760.00 CH  
130.00 CH



244/067 (prev. 6646-130N5)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Ronald A. KATZ

Serial No.: 09/340,618

Filed: June 28, 1999

For: TELEPHONIC-INTERFACE GAME  
CONTROL SYSTEM

Group Art Unit: 2742

Examiner: Not yet assigned

LETTER

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant received a notice to file missing parts of application with respect to this application. The notice mailed on July 22, 1999, indicated that the filing fee of \$760 was not paid by Applicant, at the time the application was filed. Our records indicate that Applicant authorized the U.S. Patent and Trademark Office to charge the filing fee in the amount of \$760 to Deposit Account No. 12-2475. A copy of that request is attached for your consideration. In view of the prior authorization granted, a surcharge (\$130) should not be necessary.

Again, Applicant requests the U.S. Patent and Trademark Office to charge the appropriate filing fee to Deposit Account No. 12-2475. A copy of the Notice to File Missing Parts of

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

September 8, 1999  
Date of Deposit  
LA-107792.1

Connie Kwon  
Connie Kwon

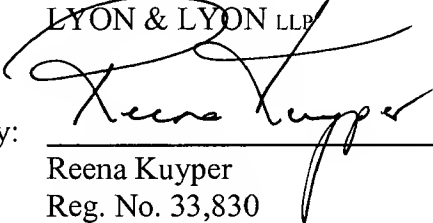
Application, as required is also returned with this letter, which is being submitted within the two month period designated for response, that is before, September 22, 1999.

In the event there are any outstanding issues, please contact the undersigned. Also, in the event additional charges are deemed necessary, please charge them to Deposit Account 12-2475.

Respectfully submitted,

LYON & LYON LLP

By:

  
Reena Kuyper  
Reg. No. 33,830

Dated: September 8, 1999

633 West Fifth Street, Suite 4700  
Los Angeles, California 90071-2066  
(213) 489-1600



PATENT  
244/067 (prev. 6646-130N5)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: )  
 ) Group Art Unit: 2742  
 **Ronald A. KATZ** )  
 ) Examiner: Not yet assigned  
 Serial No.: 09/340,618 )  
 )  
 Filed: June 28, 1999 )  
 )  
 For: TELEPHONIC-INTERFACE GAME )  
 CONTROL SYSTEM )

LETTER

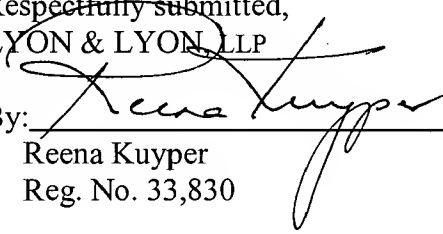
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Communications relating to this application are being erroneously directed to a prior law firm of record, Nilsson Robbins Dalgarn Berliner Carson & Wurst, previously located at 201 North Figueroa Street, Fifth Floor, Los Angeles, California 90012-2628. Most likely this information was taken from a parent application. A notification indicating that the undersigned was now with the present law firm of record, Lyon & Lyon, located at 633 West Fifth Street, Library Tower, Suite 4700 Los Angeles, California 90071-2066. was filed in the parent application. Moreover, the request for continuation filed with respect to the present application indicated the present law firm of record, Lyon and Lyon, LLP and its present address.

Correspondence should be directed to Reena Kuyper. For telephonic communications, the new number is (213) 489-1600. Please direct future communications to the undersigned at Lyon & Lyon LLP at the address indicated on this letter.

Respectfully submitted,  
LYON & LYON LLP

By:   
Reena Kuyper  
Reg. No. 33,830

Date: September 8, 1999

633 West Fifth Street  
Library Tower, Suite 4700  
Los Angeles, California 90071-2066  
(213) 489-1600



Express Mail No. EM 350823590US  
Patent  
244/067 (6646-130N5)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification of this application:  
Class \_\_\_\_\_ Subclass \_\_\_\_\_  
Prior application: 09/128,936  
Examiner: Tsang, F.  
Art Unit: 2742

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

FILING UNDER 37 CFR 1.53(B)

This is a request for filing for a

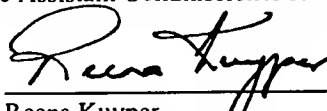
☒ continuation ☐ divisional

application under 37 C.F.R. 1.53(b) of pending prior application Application Serial No. 09/128,936 filed August 5, 1998, and entitled "Telephonic-Interface Game Control System," which is a continuation of Application Serial No. 08/559,538 filed November 16, 1995, and entitled "Telephonic-Interface Game Control System," now U.S. Patent No. 5,793,846, which was a continuation of Application Serial No. 08/073,585 filed June 7, 1993, and entitled "Telephonic-Interface Game Control System," now U.S. Patent No. 5,553,120, which was a continuation of Application Serial No. 07/534,907 filed June 8, 1990, and entitled "Telephonic-Interface Game Control System," now U.S. Patent No. 5,218,631, which was a continuation-in-part of Application Serial No. 07/335,923 filed April 10, 1989, and entitled "Telephonic-Interface Statistical Analysis System," which was a continuation of Application Serial No. 07/194,258 filed May 16, 1988, and entitled "Telephonic-Interface Statistical Analysis System," now U.S. Patent No. 4,845,739, which was a continuation-in-part of Application Serial No. 07/018,244 filed February 24, 1987, and entitled "Statistical Analysis System For Use With Public Communication Facility," now U.S. Patent No. 4,792,968, which was a continuation-in-part of Application Serial No. 06/753,299 filed July 10, 1985, and entitled "Statistical Analysis System For Use With Public Communication Facility," now abandoned. Also, Application Serial No. 08/559,538 is directly a continuation-in-part of Application Serial No. 07/335,923 filed April 10, 1989, and entitled "Telephonic-Interface Statistical Analysis System," which was a continuation of Application Serial No. 07/194,258 filed May 16, 1988, and entitled "Telephonic-Interface Statistical Analysis System," now U.S. Patent No. 4,845,739, which was a continuation-in-part of Application Serial No. 07/018,244 filed on February 24, 1987, and entitled "Statistical Analysis System For Use With Public Communication Facility," now U.S. Patent No. 4,792,968, which was a

CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' (Label No. EM 350823590US) in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

June 28, 1999  
Date of Deposit

  
Reena Kuyper  
Registration No. 33,830

continuation-in-part of Application Serial No. 06/753,299 filed on July 10, 1985, and entitled "Statistical Analysis System For Use With Public Communication Facility," now abandoned. The benefit of the earlier filing dates in the United States is claimed under 35 U.S.C. § 120, of

Ronald A. Katz

for: TELEPHONIC-INTERFACE GAME CONTROL SYSTEM

1. **COPY OF PRIOR APPLICATION AS FILED WHICH IS ATTACHED**

- ☒ The attached specification and drawings are substantially the same as what is shown in our records to be the above-identified prior application, including the oath and declaration originally filed.

The papers of the attached application and accompanying copies of originally filed documents include:

<u>21</u>	Pages of Specification		
<u>10</u>	Pages of Claims		
<u>1</u>	Pages of Abstract		
<u>3</u>	Sheets of Drawings	<u>    </u> formal	<u>  X  </u> Informal
<u>2</u>	Pages of Declaration and Petition		
<u>2</u>	Page of Power of Attorney by Assignee from Aldo Tesi		
<u>2</u>	Pages of Power of Attorney by Assignee from Ronald A. Katz		
<u>2</u>	Pages of subsequent Revocation and Grant of Power of Attorney		

- ☒ In accordance with the indication required by 37 CFR 1.53(b), my records reflect that the original signed declaration showing applicant's signature was signed on May 24, 1990.

- ☐ The amendment referred to in the declaration filed to complete the prior application, and I hereby state, in accordance with the requirements of 37 CFR 1.53(b), that this amendment did not introduce new matter therein.

2. **INCORPORATION BY REFERENCE**

The entire disclosure of the prior application, from which a copy of the original oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

3. **AMENDMENTS**

- ☒ Cancel in this application original Claims 1-15 + 19-29 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

4. **INFORMATION DISCLOSURE STATEMENT**

- ☐ An Information Disclosure Statement, PTO 1449, and references are submitted herewith.

5. **PETITION FOR SUSPENSION OF PROSECUTION FOR THE TIME TO FILE AN AMENDMENT**

- ☐ There is provided herewith a PETITION FOR SUSPENSION OF PROSECUTION FOR THE TIME NECESSARY TO FILE AN AMENDMENT (NEW APPLICATION FILED CONCURRENTLY).

6. **FEE CALCULATION (including claims presented in Preliminary Amendment)**

<b>BASIC FILING FEE:</b>				<b>\$760.00</b>
Total Claims	4	- 20	= 0 x \$18	\$0.00
Independent Claims	2	- 3	= 0 x \$78	\$0.00
Multiple Dependent Claims				\$260 (if applicable)
Surcharge 37 CFR 1.16(e)				\$130 (if applicable)
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>\$ 760.00</b>
Reduction by ½ for Filing by Small Entity. Note 37 CFR 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.				
Misc. Filing Fees (Recordation of Assignment)				
<b>TOTAL FEES SUBMITTED HEREWITH</b>				<b>\$760.00</b>

- ☐ The fee for extra claims is not being paid at this time.

Filing Fee Calculation

7. **SMALL ENTITY STATUS**

- ☐ A Verified Statement to establish small entity under 37 CFR 1.9 and 1.27 is attached

- ☐ has been filed in the prior application and such status is still proper and desired. [37 CFR 1.28(a)]

Filing Fee Calculation (50% of above) \$0.00

**8. DRAWINGS**

[NOTE: DO NOT CHECK THIS IF PRIOR CASE IS NOT TO BE ABANDONED.]

- ☐ Transfer the drawings from the prior application to this application and, subject to Item 16 below, abandon said prior application as of the filing date accorded to this application. A duplicate copy of this request is enclosed for filing in the prior application file.  
[May only be used if signed by (1) applicant, (2) assignee of record or (3) attorney or agent of record authorized by 37 CFR 1.138 and before payment of issue fee.]
- ☐ Transfer the following sheet(s) of drawings from the prior application to this application.
- ☒ New drawings are enclosed ☐ formal ☒ informal

**9. PRIORITY - 35 U.S.C. 119**

- ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ [country] is claimed under 35 U.S.C. 119.
- ☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_ on \_\_\_\_\_.
- ☐ The certified copy will follow.

**10. RELATE BACK - 35 U.S.C. 120**

- ☒ Related Cases:

—This is a continuation of Application Serial No. 09/128,936 filed August 5, 1998, and entitled "Telephonic-Interface Game Control System," which is a continuation of Application Serial No. 08/559,538 filed November 16, 1995, and entitled "Telephonic-Interface Game Control System," now U.S. Patent No. 5,793,846, which was a continuation of Application Serial No. 08/073,585 filed June 7, 1993, and entitled "Telephonic-Interface Game Control System," now U.S. Patent No. 5,553,120, which was a continuation of Application Serial No. 07/534,907 filed June 8, 1990, and entitled "Telephonic-Interface Game Control System," now U.S. Patent No. 5,218,631, which was a continuation-in-part of Application Serial No. 07/335,923 filed April 10, 1989, and entitled "Telephonic-Interface Statistical Analysis System," which was a continuation of Application Serial No. 07/194,258 filed May 16, 1988, and entitled "Telephonic-Interface Statistical Analysis System," now U.S. Patent No. 4,845,739, which was a continuation-in-part of Application Serial No. 07/018,244 filed February 24, 1987, and entitled "Statistical Analysis System For Use With Public Communication Facility," now U.S. Patent No. 4,792,968, which was a continuation-in-part of



Application Serial No. 06/753,299 filed July 10, 1985, and entitled "Statistical Analysis System For Use With Public Communication Facility," now abandoned.

Also, Application Serial No. 08/559,538 is directly a continuation-in-part of Application Serial No. 07/335,923 filed April 10, 1989, and entitled "Telephonic-Interface Statistical Analysis System," which was a continuation of Application Serial No. 07/194,258 filed May 16, 1988, and entitled "Telephonic-Interface Statistical Analysis System," now U.S. Patent No. 4,845,739, which was a continuation-in-part of Application Serial No. 07/018,244 filed on February 24, 1987, and entitled "Statistical Analysis System For Use With Public Communication Facility," now U.S. Patent No. 4,792,968, which was a continuation-in-part of Application Serial No. 06/753,299 filed on July 10, 1985, and entitled "Statistical Analysis System For Use With Public Communication Facility," now abandoned,. The benefit of the earlier filing dates in the United States is claimed under 35 U.S.C. § 120.—

## 11. INVENTORSHIP STATEMENT

☒ With respect to the prior co-pending U.S. application from which this application claims benefit under 35 U.S.C. 120, the inventor(s) in this application is (are):

☒ the same

☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted:

[type name(s) of inventor(s) to be deleted]

☒ The inventorship for all the claims in this application are:

☒ the same

☐ not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

## 12. ASSIGNMENT

☒ The prior application is assigned of record to Ronald A. Katz Technology Licensing, L.P.

Ronald A. Katz Technology Licensing, L.P.  
Name of Assignee

9200 Sunset Boulevard, Suite 1005, Los Angeles, California 90069  
Address of Assignee

Assignment recorded in PTO on September 26, 1994, Reel 7133, Frames 090-100

☐ An Assignment of the invention to \_\_\_\_\_ is attached.

**13. FEE PAYMENT BEING MADE AT THIS TIME**

☐ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.]

☒ Attached.

☒ Filing fee. \$760.00

☐ Recording assignment. [\$40.00 37 CFR 1.21(h)(1)]  
Petition fee for filing by other than all the inventors or  
person on behalf of the inventor where inventor refused  
to sign or cannot be reached.  
[\$130.00; 37 CFR 1.47 and 1.17(h)]

☐ Petition fee to Suspend Prosecution for the Time  
Necessary to File an Amendment (New Application Filed  
Concurrently.  
[\$130.00; 37 CFR 1.103 and 1.17(i)(1)]

☐ For processing an application with a specification  
in a non-English language.  
[\$130.00; 37 CFR 1.52(d) and 1.17(k)]

☐ Processing and retention fee.  
[\$130.00; 37 CFR 1.53(d) and 1.21(l)]

**Total Fees Enclosed \$760.00**

**14. METHOD OF PAYMENT OF FEES**

☐ Attached is a check in the amount of \_\_\_\_\_.

☒ Charge Deposit Account No. **12-2475** in the amount of \$760.00.

**15. AUTHORIZATION TO CHARGE ADDITIONAL FEES**

The Commissioner is hereby authorized to charge the following additional fees by this paper  
and during the entire pendency of this application to Deposit Account No. **12-2475**:

☒ 37 CFR 1.16 (filing fees)

☒ 37 CFR 1.16 (presentation of extra claims)

- ☒ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☐ 37 CFR 1.17 (application processing fees)
- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

16. **INSTRUCTIONS AS TO OVERPAYMENT**

- ☒ Credit Deposit Account No. **12-2475**.
- ☐ Refund

17. **POWER OF ATTORNEY**

- ☐ The power of attorney in the prior application is to
- ☒ The power of attorney in the prior application is to the registered attorneys listed below and members of or associates in the law firm of **LYON & LYON LLP**, 633 West Fifth Street, 47<sup>th</sup> Floor, Los Angeles, California 90071, Registration No. 11,611, whose members are registered to practice in the U.S. Patent and Trademark office:

Roland N. Smoot, Reg. No. 18,718  
Conrad R. Solum, Jr., Reg. No. 20,467  
James W. Geriak, Reg. No. 20,233  
Robert M. Taylor, Jr., Reg. No. 19,848  
Samuel B. Stone, Reg. No. 19,297  
Douglas E. Olson, Reg. No. 22,798  
Robert E. Lyon, Reg. No. 24,171  
Robert C. Weiss, Reg. No. 24,939  
Richard E. Lyon, Jr., Reg. No. 26,300  
John D. McConaghy, Reg. No. 26,733  
William C. Steffin, Reg. No. 26,811  
Coe A. Bloomberg, Reg. No. 26,605  
J. Donald McCarthy, Reg. No. 25,119  
John M. Benassi, Reg. No. 27,483  
James J. Shalek, Reg. No. 29,749  
Allan W. Jansen, Reg. No. 29,035  
Robert W. Dickerson, Reg. No. 29,914  
Roy L. Anderson, Reg. No. 30,240  
David B. Murphy, Reg. No. 31,125

James C. Brooks, Reg. No. 29,898  
Jeffrey M. Olson, Reg. No. 30,790  
Steven D. Hemminger, Reg. No. 30,755  
Jerrold B. Reilly, Reg. No. 32,293  
Paul H. Meier, Reg. No. 32,274  
John A. Rafter, Jr., Reg. No. 31,653  
Kenneth H. Ohriner, Reg. No. 31,646  
Mary S. Consalvi, Reg. No. 32,212  
Lois M. Kwasigroch, Reg. No. 35,579  
Lawrence R. LaPorte, Reg. No. 38,948  
Robert C. Laurensen, Reg. No. 34,206  
Carol A. Schneider, Reg. No. 34,923  
Hope E. Melville, Reg. No. 34,874  
Michael J. Wise, Reg. No. 34,047  
Richard J. Warburg, Reg. No. 32,327  
and  
Reena Kuyper, Reg. No. 33,830

- ☒ The power appears in the original papers in the prior application.

- ☐ The power does not appear in the original papers, but was filed on \_\_\_\_\_ in this application.
- ☐ A new power has been executed and is attached.
- ☒ Address all future communications to:

LYON & LYON LLP  
633 West Fifth Street, 47<sup>th</sup> Floor  
Los Angeles, California 90071  
(213) 489-1600  
Attention: Reena Kuyper

**18. MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION**

- ☐ A petition, fee and response has been filed to extend the term in the pending **prior** application until \_\_\_\_\_. A copy of the petition for extension of time in the **prior** application is attached.

**19. CONDITIONAL PETITIONS FOR EXTENSION OF TIME IN PRIOR APPLICATION**

- ☐ A conditional petition for extension of time is being filed in the pending **prior** application. A copy of the conditional petition for extension of time in the **prior** application is attached.

**20. ABANDONMENT OF PRIOR APPLICATION**

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item 13 above.

Respectfully submitted,

LYON & LYON LLP

By:

Reena Kuyper  
Reena Kuyper  
Registration No. 33,830  
Attorneys for Applicants

Dated: June 28, 1997

633 West Fifth Street, Suite 4700  
Los Angeles, California 90071-2066  
(213) 489-1600  
Enclosures